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Docket No. ORT-1473

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TECH CENTER 1600/2900

Applicants : Richard Apodaca et al.

Serial No. : 09/922,631

Art Unit: 1626

Filed : August 6, 2001

Examiner: K. Saeed

For : NON-IMIDAZOLE ARYLOXYALKYLAMINES

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Commissioner For Patents, Washington, D.C. 20231 on

July 25, 2002

(Date of Deposit)

Evelyn D. Shen

(Name of applicant, assignee, or Registered Representative)

(Signature)

July 25, 2002

(Date of Signature)

Commissioner for Patents  
Washington, D.C. 20231

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56 (b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☐ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Statement in Accordance with §1.97(e)  
(attached); or

☐ Please charge Deposit Account No. 10-0750/ /  
the fee of \$180.00 as set forth in §1.17(p).

☒ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e)  
(attached); or
- ☒ Please charge Deposit Account No. 10-0750/ORT-  
1473/EDS the fee of \$180.00 as set forth in  
§1.17(p).

☐ In accordance with §1.97(d), this Information  
Disclosure Statement is being filed after the mailing date of  
either a Final Action under §1.113 or a Notice of Allowance  
under §1.311 but before the payment of the Issue Fee.  
Applicant(s) hereby petition(s) for consideration of this  
Information Disclosure Statement. Included are: Statement in  
Accordance with §1.97(e) as set forth below and the fee of  
\$180.00 as set forth in §1.17(p).

☒ Copies of each of the references listed on the  
attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-  
1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references  
[list as appropriate], and the likelihood that  
these references are available to the Examiner,  
copies are not enclosed herewith.

☐ If any of the foregoing publications are not  
available to the Examiner, Applicant will  
endeavor to supply copies at the Examiner's  
request.

☒ There are no listed references which are not in the  
English language.

☐ The relevance of those listed references which are not in the English language is as follows:

☒ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/ORT-1473/EDS. This form is submitted in triplicate.

Respectfully submitted,



Evelyn D. Shen  
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DATED: July 25, 2002

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

|                               |                |
|-------------------------------|----------------|
| <b>Application Number</b>     | 09/922,631     |
| <b>Filing Date</b>            | August 6, 2009 |
| <b>First Named Inventor</b>   | R. Apodaca     |
| <b>Group Art Unit</b>         | 1626           |
| <b>Examiner Name</b>          | K. Saeed       |
| <b>Attorney Docket Number</b> | ORT-1473       |

[illegible][illegible]

|                       |                    |
|-----------------------|--------------------|
| Examiner<br>Signature | Date<br>Considered |
|-----------------------|--------------------|

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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|---|------------------------|----------------|
| <b>SUBMISSION UNDER MPEP 609 D</b><br><br>Page 1 of 1 | Application Number     | 09/922,631     |
|   | Filing Date            | August 6, 2001 |
|   | First Named Inventor   | R. Apodaca     |
|   | Group Art Unit         | 1626           |
|   | Examiner Name          | K. Saeed       |
|   | Attorney Docket Number | ORT-1473       |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Name of Patentee or Applicant<br>of Cited Document | U.S. Patent Document |                                      | Pages, Columns, Lines,<br>where relevant passages or<br>relevant figures appear |
|----------------------|--------------------------|--|----------------------|--------------------------------------|---|
|                      |                          |  | Number               | Kind Code <sup>2</sup><br>(if known) |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Name of Patentee or<br>Applicant of Cited Document | Foreign Patent Document |                     |                        | Pages, Columns, Lines,<br>where relevant passages<br>or relevant figures<br>appear | T <sup>6</sup> |
|----------------------|--------------------------|--|-------------------------|---------------------|------------------------|--|----------------|
|                      |                          |  | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> |  |                |
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**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>'s<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate),<br>title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s),<br>volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
|-----------------------------|--------------------------|--|----------------|
|                             |                          |  |                |
|                             |                          | International Search Report for PCT/US 01/24655 dated April 18, 2002   |                |
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| Examiner<br>Signature |  | Date<br>Considered |  |
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